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PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: STP-99-167 (A8117)

Chi-Pei Michael Hsing, et al.

Appln. No.: 09/513,065

Group Art Unit: 2132

Confirmation No.: 5699

Examiner: Jung W. Kim

Filed: February 24, 2000

For: SECURE ACCESS TO A UNIFIED LOGON-ENABLED DATABASE SERVER

**SUBMISSION OF EXECUTED DECLARATION UNDER 37 C.F.R. §1.131**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is a copy of an executed Declaration Under 37 C.F.R. §1.131 signed  
by Michael Hsing Chi-Pei and Alan Young.

Respectfully submitted,

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: February 5, 2004



**PATENT APPLICATION**

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**DECLARATION UNDER 37 C.F.R. § 1.131**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We, Michael Hsing Chi-Pei and Alan Yaung, hereby declare and state as follows:

We are the two inventors named in the above-captioned U.S. patent application 09/513,065 (the '065 application, hereinafter).

We understand that, in an Office Action mailed November 24, 2003, the Examiner applied, as a reference against all of the claims of the '065 application, a paper by Charlie Lai, et al. entitled, "User Authentication and Authorization in the Java™ Platform," bearing a publication date of December, 1999, as found by the Examiner on the Internet (hereinafter "Lai").

We further understand that all of the claims (namely, claims 1-36) are rejected as being as being unpatentable over Lai in view of another prior art reference, namely a book by Stallings titled "Cryptography and Network Security".

We invented the subject matter of claims 1-36 of the present application, prior to December, 1999. As evidence, we rely on the following exhibits, which are described below in more detail:

Exhibit A: IBM's Invention Disclosure Sheet.

Exhibit B: Letter from Mr. Ingrid M. Foerster to Ms. Janaki Komanduri.

Exhibit A is an original internal Invention Disclosure Sheet written by us. On page 1 appears the title of the invention, "Secure Access to a Unified Logon-Enabled Database Server." At the very top of page 1 appears the date the document was created (August 19, 1999) and the date the document was last modified (September 2, 1999). Pages 2-5 of the Invention Disclosure Sheet describe the invention.

Exhibit B is the original letter dated November 1, 1999, in which IBM transmitted the "invention disclosure sheet" from IBM to the Pretty, Schroeder and Poplawski firm. The letter shows the title of the invention to be the same as on the "Invention Disclosure Sheet" (Secure Access to a Unified Logon-Enabled Database Server).

Exhibit A, dated September 2, 1999 (last modified date), fully discloses the subject matter of all of claims 1-36, and proves that we invented the subject matter of claims 1-36 no later than September 2, 1999. Exhibit B corroborates the time frame of Exhibit A.

The following table shows where support for each of the claims may be found in Exhibit A. The locations are representative only, and further support for the claimed subject matter may be found at other locations in the Information Disclosure Sheet.

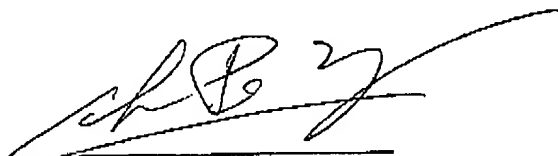
Claims	Location
1, 13, 25	Pages 4-6 (Delivery of the authentication key and parsing an authentication key)
2-6, 14-18 and 26-30	Page 5-6 (parsing an authentication key)
7, 19 and 31	Page 2 (how the invention solves the problem)
8-12, 20-24 and 32-36	Pages 2, 4-5 (how the invention solves the problem, generating an authentication key and delivery of the authentication key)

Amendment Under 37 C.F.R. § 1.111  
U.S. Application No.: 09/513,065  
Attorney Docket No.: ST9-99-167(A8117)

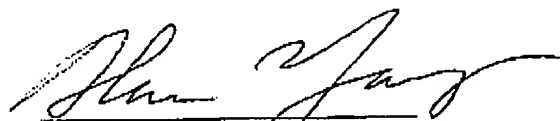
In view of the foregoing, it is clear that we, the two named inventors of the present '065 application, invented the subject matter of all of claims 1-36 prior to the December of 1999 date of the Lai reference cited by the Examiner.

We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 1/21/04

  
Michael Hsing Chi-Pei

Date: 1/21/2004

  
Alan Yaung